



## UNITED STATES PATENT AND TRADEMARK OFFICE

COMMISSIONER FOR PATENTS  
UNITED STATES PATENT AND TRADEMARK OFFICE  
WASHINGTON, D.C. 20231  
www.uspto.gov

APPLICATION NUMBER	FILING/RECEIPT DATE	FIRST NAMED APPLICANT	ATTORNEY DOCKET NUMBER
09/905,642	07/13/2001	Richard W. Cline	XILL117728

CONFIRMATION NO. 4610

26389  
CHRISTENSEN, O'CONNOR, JOHNSON, KINDNESS, PLLC  
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SEATTLE, WA 98101-2347

## FORMALITIES LETTER



\*OC000000006375676\*

Date Mailed: 08/02/2001

## NOTICE TO FILE MISSING PARTS OF NONPROVISIONAL APPLICATION

FILED UNDER 37 CFR 1.53(b)

## Filing Date Granted

An application number and filing date have been accorded to this application. The item(s) indicated below, however, are missing. Applicant is given **TWO MONTHS** from the date of this Notice within which to file all required items and pay any fees required below to avoid abandonment. Extensions of time may be obtained by filing a petition accompanied by the extension fee under the provisions of 37 CFR 1.136(a).

- The statutory basic filing fee is missing.  
*Applicant must submit \$ 710 to complete the basic filing fee and/or file a small entity statement claiming such status (37 CFR 1.27).*
- Total additional claim fee(s) for this application is \$2660.
  - \$2070 for 115 total claims over 20.
  - \$320 for 4 independent claims over 3 .
  - \$270 for multiple dependent claim surcharge.
- The oath or declaration is missing.  
*A properly signed oath or declaration in compliance with 37 CFR 1.63, identifying the application by the above Application Number and Filing Date, is required.*
- To avoid abandonment, a late filing fee or oath or declaration surcharge as set forth in 37 CFR 1.16(e) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.
- **The balance due by applicant is \$ 3500.**

The application is informal since it does not comply with the regulations for the reason(s) indicated below.

The required item(s) identified below must be timely submitted to avoid abandonment:

- Substitute drawings in compliance with 37 CFR 1.84 because:
  - drawings submitted to the Office are not electronically reproducible. Drawing sheets must be submitted on paper which is flexible, strong, white, smooth, non-shiny, and durable (see 37 CFR 1.84(e));

10/02/2001 RHARIS1 00000069 031740 09905642

01 FC:101	740.00 OP
02 FC:102	336.00 OP
03 FC:103	2070.00 OP
04 FC:104	280.00 OP
05 FC:105	74.00 OP
56.00 CH	

~~Am~~

Initial Patent Examination Division (703) 308-1202

**PART 2 - COPY TO BE RETURNED WITH RESPONSE**

1. The first step is to identify the key components of the system. This involves understanding the hardware, software, and data involved.



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BOX MISSING PARTS

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicants: R.W. Cline et al. Attorney Docket No.: XILL117728  
Application No.: 09/905,642 Group Art Unit: 3739  
Filed: July 13, 2001  
Title: COMPACT FLUORESCENCE ENDOSCOPY VIDEO SYSTEM (AS AMENDED)

TRANSMITTAL OF MISSING PARTS OF PATENT APPLICATION/  
REQUEST FOR RECORDATION OF ASSIGNMENT

Seattle, Washington 98101

September 28, 2001

TO THE COMMISSIONER FOR PATENTS:

A. Transmitted herewith are the following:

- X 1. An executed Combined Declaration and Power of Attorney.
- X 2. An Assignment of the invention to Xillix Technologies Corporation. A Recordation Form Cover Sheet prepared in accordance with 37 C.F.R. § 3.31 is attached to the Assignment, along with a separate check for the recordation fee. Please record this Assignment in accordance with 37 C.F.R. § 3.11.
- X 3. A copy of the Notice to File Missing Parts.
- X 4. The following additional documents: Formal Drawings, and Preliminary Amendment.

COMPUTATION OF FEE

	Number Filed		Number Extra		Rate	
Basic Fee						710
Total Claims	135 - 20	=	115	x	18	= 2070
Independent Claims	7 - 3	=	4	x	80	= 320
Multiple Dependent Claim				+	270	270
Surcharge				+	130	130
Extension of Time				+		
TOTAL						\$3500

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B. Fees Enclosed

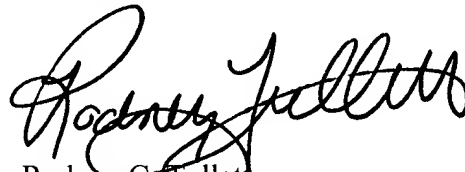
Enclosed is our Check No. 132252 in the amount of \$3,500.00 to cover the requisite fees.

C. Additional Fee Charges or Credit for Overpayment

The Commissioner is hereby authorized to charge any fees under 37 C.F.R. §§ 1.16, 1.17 and 1.18 which may be required during the entire pendency of the application, or credit any overpayment, to Deposit Account No. 03-1740. This authorization also hereby includes a request for any extensions of time of the appropriate length required upon the filing of any reply during the entire prosecution of this application. A copy of this document is enclosed.

Respectfully submitted,

CHRISTENSEN O'CONNOR  
JOHNSON KINDNESS<sup>PLLC</sup>



Rodney C. Tullett

Registration No. 34,034

Direct Dial No. 206.695.1730

I hereby certify that this correspondence is being deposited with the U.S. Postal Service in a sealed envelope as first class mail with postage thereon fully prepaid and addressed to the Commissioner for Patents, Washington, D.C. 20231, on the below date.

Date:

September 28, 2001



RCT:yc

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